

(51) International Patent Classification <sup>6</sup> : A61K 9/14, 9/16, 9/19, 9/20, 9/48		A1	(11) International Publication Number: <b>WO 98/08490</b>
			(43) International Publication Date: 5 March 1998 (05.03.98)
(21) International Application Number: PCT/US97/15072 (22) International Filing Date: 27 August 1997 (27.08.97) (30) Priority Data: 119176                      1 September 1996 (01.09.96)      IL 08/833,076                2 April 1997 (02.04.97)                      US (60) Parent Application or Grant (63) Related by Continuation US    08/833,076 (CIP) Filed on                                      2 April 1997 (02.04.97) (71) Applicant (for all designated States except US): PHARMOS CORPORATION [US/US]; 101 East 52nd Street, New York, NY 10022 (US). (72) Inventor; and (75) Inventor/Applicant (for US only): AMSELEM, Shimon [IL/IL]; 10 Miriam Mizrahi Street, 76551 Rehovot (IL). (74) Agents: FANUCCI, Allan, A. et al.; Pennie & Edmonds LLP, 1155 Avenue of the Americas, New York, NY 10036 (US).		(81) Designated States: AL, AM, AU, AZ, BA, BB, BG, BR, BY, CA, CN, CU, CZ, EE, GE, GH, HU, IL, IS, JP, KG, KP, KR, KZ, LC, LK, LR, LT, LV, MD, MG, MK, MN, MX, NO, NZ, PL, RO, RU, SG, SI, SK, SL, TJ, TM, TR, TT, UA, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>	
(54) Title: SOLID COPRECIPITATES FOR ENHANCED BIOAVAILABILITY OF LIPOPHILIC SUBSTANCES (57) Abstract <p>Lipophilic active ingredient are co-melted with tocopherol polyethyleneglycol succinate ("TPGS") and a dispersion adjuvant to obtain solid dry coprecipitate compositions suitable as an oral dosage form. The solid TPGS coprecipitates of lipophilic active ingredients show improved drug release <i>in vitro</i> and enhanced oral bioavailability <i>in vivo</i>.</p>			

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

**SOLID COPRECIPITATES FOR ENHANCED  
BIOAVAILABILITY OF LIPOPHILIC SUBSTANCES**

FIELD OF THE INVENTION

The present invention concerns compositions comprising  
5 solid coprecipitates for improved oral delivery and enhanced  
bioavailability of lipophilic substances, and to methods for  
the preparation and use of these compositions. More  
particularly, these compositions include tocopherol  
polyethyleneglycol succinate powdered coprecipitates of  
10 lipophilic substances.

BACKGROUND OF THE INVENTION

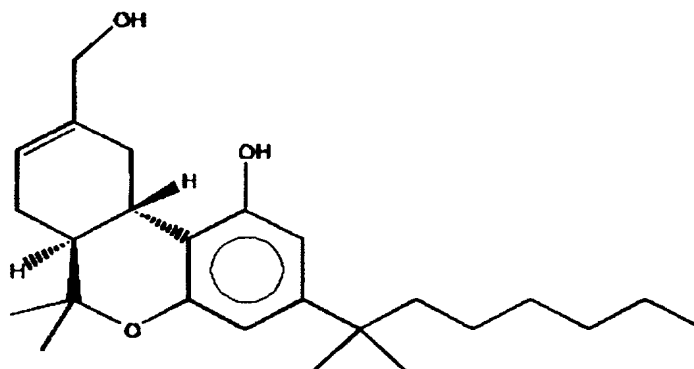
Lipophilic substances possessing low water solubility  
often have poor oral bioavailability. These substances,  
15 being hydrophobic by nature, show wetting difficulties and  
poor dissolution. These properties obviously represent a  
rate-limiting step in their absorption from solid oral dosage  
forms and, in turn, cause a subsequent reduction in their  
bioavailability.

20 To address the foregoing issue, these lipophilic  
substances are usually administered in the form of liquid  
preparations dissolved in edible oils or formulated in  
oil-in-water emulsions or microemulsions. Even in these  
formulations, however, the oral bioavailability of many of  
25 them is still very low. Thus, even today, there remains an  
unresolved need for safe and useful formulations that provide  
enhanced oral bioavailability for such substances.

Cannabinoids are one example of a family of lipophilic  
substances with very poor water solubility. Cannabinoids  
30 such as  $\Delta^1$ -tetrahydrocannabinol ( $\Delta^1$ -THC),  
 $\Delta^6$ -tetrahydrocannabinol ( $\Delta^6$ -THC),  $\Delta^9$ -tetrahydrocannabinol  
( $\Delta^9$ -THC), cannabinol, cannabidiol, and their metabolites, are  
highly hydrophobic lipid soluble compounds and can be  
dissolved in aqueous solutions only in the range of a few  
35 micrograms/ml or less, depending upon the conditions (Garret  
and Hunt, J. Pharm. Sci., 63:1056-1064, 1974).

In general, the systemic availability of cannabinoids after oral administration is low and mean estimates of the human bioavailability of tetrahydrocannabinol (THC) following oral ingestion range from 6 to 12% depending on the vehicle used. For example, the maximal plasma levels after oral dosing of 20 mg THC in a sesame oil formulation were around 10 ng/ml (Wall et al., Clin. Pharmacol. Ther. 34:352-363, 1983).

Dexanabinol (also denoted HU-211), is disclosed in US Patents 4,876,276 and 5,521,215, as a synthetic non-psychoactive cannabinoid with novel neuroprotective activity in the multiple-action treatment of brain damage associated with stroke, head trauma, and cardiac arrest. The chemical structure of dexanabinol, (+)-(3*S*,4*S*)-7-hydroxy- $\Delta^6$ -tetrahydrocannabinol-1,1-dimethylheptyl, is shown in Scheme 1.



Scheme 1

Dexanabinol is a very lipophilic compound which is practically insoluble in water (less than 50  $\mu$ g/ml) and, like other lipophilic drugs, exhibits poor oral bioavailability.

Coenzyme Q10, chemically named 2,3-dimethoxy-5-methyl-6-decaprenyl-1,4-benzoquinone and also known by the names Ubiquinone or Vitamin K, is classified as a fat-soluble quinone, a naturally occurring enzyme. It has been identified as an essential component of the mitochondrial respiratory chain, and, thus, a necessary part of a cell's energy production. More particularly, it constitutes a redox-link between flavoproteins and cytochromes and acts as an electron shuttle controlling the efficiency of oxidative

phosphorylation. It is a very lipophilic compound and practically insoluble in water due to its long side chain of 10 isoprenoid units.

CoQ10 has been previously identified as a natural antioxidant with potential use as a dietary supplement to protect against age-related degeneration and as an adjuvant vitamin to prevent or treat many disease states.

Supplementary Coenzyme Q10 has reportedly shown beneficial influences in a variety of conditions or diseases, including: periodontal disease, certain blood circulation diseases, impaired memory, fatigue, irregular heartbeat, high blood pressure, immune system impairment, and the aging process.

The recommended daily allowance for coenzyme Q10 has not been determined. Most experts agree, however, that the daily requirement lies somewhere between 30 and 60 milligrams. When treating illnesses, dosages of 100 to 300 milligrams are commonly used.

The oral bioavailability of CoQ10 is related to the dissolution rate of the formulation (Kishi et al., Metabolism of exogenous coenzyme Q10 *in vivo* and the bioavailability of coenzyme Q10 preparations in Japan, in: K. Folkers, and Y. Yamamura, eds., Biomedical and Clinical Aspects of Coenzyme Q10, Vol. 4, Elsevier, Amsterdam, 1984, pp. 131-142).

Given CoQ10's poor solubility in water, the ability to formulate this substance in a suitable form affording convenient and efficient oral bioavailability is a desired goal. The art has attempted to address the problem by disclosing formulations of Coenzyme Q10 using lipids, in the form of emulsions, liposomes, microparticles and nanoparticles, have previously been disclosed. These known lipid formulations have comprised particles dispersed in an aqueous medium, and are suitable for various routes of administration, including primarily intravenous administration, as disclosed in: WO 95/05164, which discloses microparticles and nanoparticles in aqueous suspension; US Patent 4,824669, which discloses fatty emulsions; US Patent

4,636,381, which discloses liposomes; and US Patent 4,483,873, which discloses aqueous dispersions or solutions.

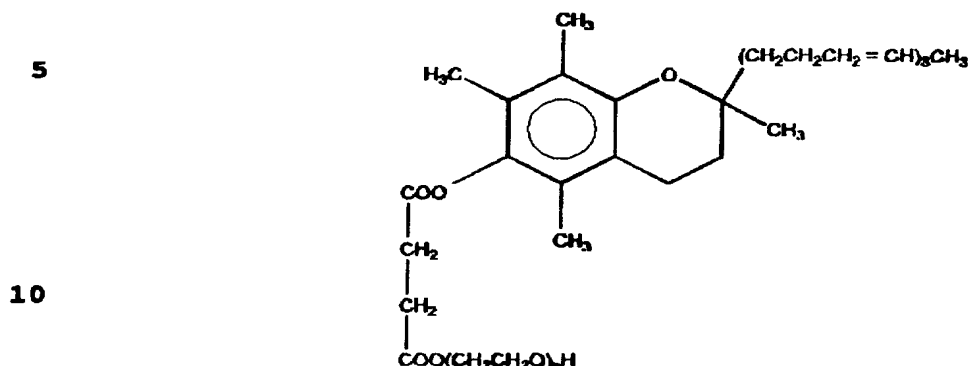
The neurohormone melatonin is synthesized in the pineal gland with a nocturnal circadian rhythm. Sleep disorders, seasonal depression, mood disorders, migraine, and jet lag are some of the disorders that have been correlated to a disruption of normal, physiological melatonin secretion. There are reports on the beneficial effect of exogenous melatonin administration to reestablish the synchronization of circadian rhythm. However, these studies have shown large variations in oral melatonin absorption, as well as highlighted the inconvenience of employing a continuous intravenous delivery system. Therefore, an oral formulation of melatonin with good bioavailability is needed. A melatonin buccal mucoadhesive sustained release delivery patch, mimicking endogenous secretion, has already been shown as one alternative means for delivering melatonin (Benes et al., Proceed. Intl. Symp. Control. Rel. Bioact. Mater., 21:551-552, 1994).

Additional examples of lipophilic drugs with very poor water solubility and low oral bioavailability which could benefit from oral dosage forms are the peptide drug cyclosporin A, the antifungal agent amphotericin B, the anticancer drug etoposide, as well as tamoxifen and its analogs.

Water-dispersible vitamin preparations were disclosed in US Patent 3,102,078, wherein the vitamin E derivative tocopherol polyethyleneglycol succinate ("TPGS") was shown to have useful properties as a solubilizing agent. Oily compositions of anti-tumor drugs utilizing TPGS as a solubilizing adjuvant have also been disclosed for instance in US 4578391. Further uses of TPGS as a surface active substance (US 4,668,513), as a cryoprotectant (US 5,198,432) or to improve the bioavailability of vitamin E (US patents 5,179,122 and 5,223,268) have also been disclosed. A powder formulation of water dispersible vitamin E compositions for

use as a vitamin E supplement has also been disclosed in US 5,234,695.

The structure of TPGS is shown in scheme 2.



Scheme 2

Thus, there remains a need for new formulations which provide improved oral bioavailability of such compounds. The present invention provides such formulations.

#### SUMMARY OF THE INVENTION

This invention is directed to compositions comprising tocopherol polyethyleneglycol succinate (TPGS) solid coprecipitates useful for the oral delivery of lipophilic substances with low oral bioavailability, and to methods for preparing and using such compositions.

The solid coprecipitate compositions of the present invention include a lipophilic substance in an amount sufficient to provide a therapeutic effect when administered to a mammal; tocopherol polyethyleneglycol succinate (TPGS) in an amount sufficient to increase the oral availability of the lipophilic substance; and a dispersion adjuvant in an amount sufficient to assist in dispersing the lipophilic substance in the succinate.

According to a preferred embodiment of the present invention, the solid TPGS coprecipitates of the present invention comprise a dispersion adjuvant selected from the group consisting of polyvinylpyrrolidone, a medium chain triglyceride, a long chain triglyceride, tocopherol acetate, and polyethyleneglycol.

According to a more preferred embodiment, the solid TPGS coprecipitates of the present invention, comprise polyvinylpyrrolidone ("PVP") as the dispersion adjuvant.

According to a most preferred embodiment of the present invention, the solid TPGS coprecipitates of the present invention may advantageously further comprise a known free-flow imparting agent, such as fumed silica or the like. This embodiment provides powdered TPGS coprecipitates particularly useful in the preparation of solid dosage forms for oral administration.

Lipophilic substances incorporated in the powdered TPGS coprecipitates of the present invention have shown unexpectedly improved drug release in simulated gastric fluid *in vitro* and enhanced oral bioavailability *in vivo*.

The present invention further relates to methods for producing the powdered TPGS coprecipitate compositions, comprising:

co-melting TPGS and the lipophilic substance at 40-60°C; adding a dispersion adjuvant to the melted mixture with agitation; adding a fumed silica to the mixture with agitation; and drying the resultant mixture at 100°C to get a dry coprecipitate.

The dispersion adjuvant used in accordance with this method may be added as an aqueous solution, an organic cosolvent solution, or an oil.

According to yet another embodiment, the solid TPGS coprecipitate compositions according to the present invention may be prepared by freeze-drying the TPGS/lipophilic substance/dispersing adjuvant mixture.

According to yet another embodiment, the solid TPGS coprecipitates compositions according to the present invention may be prepared by spray-drying the TPGS/lipophilic substance/dispersing adjuvant mixture.

According to a more preferred embodiment, the TPGS/lipophilic substance/dispersing adjuvant coprecipitate formulations can be spray dried or freeze-dried to obtain dry



powdered compositions, suitable for the preparation of solid-dosage forms such as hard gelatin capsules or tablets.

According to a still more preferred embodiment, these solid dosage TPGS coprecipitate compositions are advantageous for the oral delivery of Coenzyme Q10 as a dietary nutrient supplement, melatonin, dexamethasone, amphotericin B, cyclosporin A, etoposide, tamoxifen quaternary amine analogs, or for any appropriate lipophilic substance.

#### 10                    BRIEF DESCRIPTION OF THE FIGURES

FIG. 1 shows the *in vitro* release patterns of Dexamethasone in simulated gastric fluid from powdered TPGS/PVP solid lipid coprecipitate compositions containing about 2.5 mg drug prepared as described in Example 1 (Table 1) and packed in hard gelatin capsules.

FIG. 2 shows the *in vitro* release patterns of Dexamethasone in simulated gastric fluid from powdered TPGS/PVP/Miglyol solid lipid coprecipitate compositions containing about 2.5 mg drug prepared as described in Example 1 (Table 2) and packed in hard gelatin capsules.

FIG. 3 shows the Dexamethasone rat plasma levels after oral administration (50 mg/kg drug, n=5) of a solid TPGS lipid coprecipitate composition (Formulation AY-91-155, Example 1, Table 2) compared to a MCT oil solution of the drug.

FIG. 4 shows the oral pharmacokinetics in rats of Dexamethasone formulated in a solid TPGS lipid coprecipitate (Formulation AY-91-155, Example 1, Table 2) at three different dosages.

FIG. 5 shows the Dexamethasone rat plasma levels after oral administration (30 mg/kg drug, n=5) of a powdered solid TPGS lipid coprecipitate composition (Formulation AY-175-3) prepared as described in Example 1 (Table 1).

FIG. 6 shows the *in vitro* release of CoQ10 in simulated gastric fluid from commercial CoQ10 gelatin capsules and from a powdered solid TPGS/PVP lipid coprecipitate formulation (ED-107-157, Example 5, Table 5) packed in gelatin capsule.

DETAILED DESCRIPTION OF THE INVENTION

This invention is directed to compositions comprising dry tocopherol polyethyleneglycol succinate solid coprecipitates useful for the oral delivery of lipophilic substances, and to methods for preparing and using such compositions.

Examples of lipophilic substances that exhibit poor oral bioavailability include lipophilic drugs, vitamins, and hormones. These include steroids, steroid antagonists, non-steroidal anti-inflammatory agents, antifungal agents, antibacterial agents, antiviral agents, anticancer agents, anti-hypertensives, anti-oxidants, anti-epileptic agents and anti-depressants among many others. Additional examples of lipophilic drugs with very poor water solubility and low oral bioavailability which could benefit from oral dosage forms are the neurohormone melatonin, the antifungal agent amphotericin B, the anticancer drug etoposide, as well as tamoxifen and its analogs. More specific compounds include cannabinoids, as exemplified by dexamabinol, and vitamins, enzymes or coenzymes, as exemplified by CoQ10. Preferred lipophilic substances are those which have a water solubility of  $<200\mu\text{g/ml}$  in water at room temperature ( $25^{\circ}\text{C}$ ), and more preferably  $<50\mu\text{g/ml}$ . Depending on the inherent activity of the active ingredient its quantity has to be adjusted for each specific drug. One of ordinary skill in the art can determine the appropriate concentration by routine testing.

Compositions according to the invention can contain, for example, as the active lipophilic ingredient: steroids or non-steroidal anti-inflammatory drugs, antibiotics, antifungals, antivirals, antihistamines, antineoplastics or immunosuppressives. Specific examples would include substances such as clotrimazole, bifonazole, tetracycline, miconazole, triamcinolone, amphotericin, erythromycin, hydrocortisone, idoxuridine, diphenhydramine, minoxidil, lidocaine, tetracaine.

For pharmaceutical use, the following categories of drugs are suitable: hypnotics, sedatives, anxiolytics,

antidepressants, anticonvulsants, anti-inflammatory drugs, anti-fungals, prostanoids, prostanoid agonists, prostanoid antagonists, analgesics, hormones and vitamins. Specific examples would include lipophilic peptides, barbiturates, 5 benzodiazepines, phenothiazines, cyclosporin, diphenoxylate, tacrine, diclofenac, dexamethasone, prostaglandins, nifedipine, atropine, verapamil, fentanyl, lipophilic peptides, ketoprofen, phenytoin, miconazole and ketoconazole.

For vitamins, the active ingredient may be for example 10 Vitamin A, Vitamin E, a polyunsaturated fatty acid such as eicosapentanoic acid, retinoids, carotenes.

For lipophilic hormones, a wide variety of active ingredients, including steroids such as estradiol, progestins, androgens and their antagonists are suitable for 15 formulation according to the present invention.

The compositions of the present invention are also useful for the enhanced oral availability of certain peptides or proteins such as the hydrophobic or lipophilic peptides exemplified by the immunosuppressive agent cyclosporin.

20 The present invention discloses a novel way to increase the oral bioavailability of any of these lipophilic substances. More particularly, the invention relates to solid coprecipitates comprising the lipophilic substance, a surfactant vehicle having a melting point close to human body 25 temperature, and at least one dispersion agent/adjuvant.

After mixing with body fluids, such as gastric fluid, these compositions undergo quick dissolution with resultant micelle formation or emulsification. A good example of a surfactant vehicle (which can quickly disperse drug 30 coprecipitates) is alpha-tocopherol polyethylene glycol succinate (TPGS), which was first disclosed in US patent 3,102,078. TPGS is an amphipathic molecule, prepared by the esterification of a hydrophilic polyethylene glycol molecule (usually with a mean molecular weight 1000, and about 20-25 35 ethylene oxide chains) with the carboxylic group of hydrophobic d-alpha-tocopherol hemisuccinate (acid). TPGS is a water soluble compound (to 20% w/v) and forms micellar

solutions with a critical micelle concentration (CMC) of 0.4-0.6 mM/L (about 0.075%). The hydrophilic-lipophilic balance (HLB) of TPGS is about 15-19. The amphipathic nature of TPGS, high HLB and water solubility, and low CMC make the molecule an excellent emulsifying agent for lipophilic substances. The emulsification and subsequent increase in surface area of the lipophilic substance results in increased gastrointestinal drug absorption and bioavailability.

Toxicological studies have shown that TPGS is safe for ingestion by humans as a dietary or nutritional supplement. In conclusion, TPGS can be safely used as a surfactant and a bioenhancer for lipophilic substances of limited absorption in gastrointestinal region. Moreover, the antioxidative properties of TPGS improve the stability of TPGS containing formulations.

According to the present invention, it now is disclosed that solid TPGS coprecipitate formulations self-emulsify when dispersed in a aqueous medium, like gastric fluid, forming very small drug mixed-micelles. Lipophilic substances loaded in TPGS solid coprecipitate formulations will be absorbed more easily by the gastrointestinal tract enhancing its oral bioavailability.

The solid TPGS coprecipitates of the present invention are composed of three essential ingredients: a lipophilic substance with low water solubility, tocopherol polyethyleneglycol succinate, and at least one dispersion adjuvant.

According to a more preferred embodiment of the present invention, the solid TPGS coprecipitates of the present invention, may advantageously further comprise a free-flowing imparting agent, such as fumed silica (Cab-O-Sil, Cabot Corp., USA).

Lipophilic substances incorporated in the solid TPGS coprecipitates of the present invention have shown unexpectedly improved in vitro drug release in simulated gastric fluid and enhanced oral bioavailability.

The lipophilic substance content in the solid TPGS coprecipitates of the present invention may range from about 0.01-50% of the total solid weight of the composition, more preferably in the range of about 5-30% of the total solid weight of the composition, and still more preferably about 10-20% of the total solid weight of the composition.

The content of TPGS in the final coprecipitate formulations of the present invention is in the range of about 5-65% of the total solid weight of the composition, more preferably in the range of about 10-60% of the total solid weight of the composition, and still more preferably in the range of about 10-50% of the total solid weight of the composition.

The content of dispersion adjuvant in the final coprecipitate formulations of the present invention is in the range of about 5-75% of the total solid weight of the composition, more preferably in the range of about 10-40% of the total solid weight of the composition, and still more preferably in the range of about 10-30% of the total solid weight of the composition. This dispersion adjuvant is included to facilitate the homogeneous dispersion of the lipophilic substance in the mixture.

According to a preferred embodiment of the present invention, the solid TPGS coprecipitates of the present invention comprise a dispersion adjuvant selected from the group consisting of polyvinylpyrrolidone (PVP); a medium chain triglyceride or MCT oil; a long chain triglyceride or LCT oil; tocopherol acetate; polyethyleneglycol; or other adjuvant substance that can improve the dissolution of the lipophilic substance into the mixture or to help the lipophilic substance disperse homogeneously in the TPGS. Specific examples of such dispersion adjuvants include: Povidone (ISP Technologies, USA); Kollidon 12 PF (BASF, Germany); Miglyol 812 (Hulls, Germany); LCT oil (Croda); and Carbowax 1450 (Union Carbide, USA).

The solid TPGS coprecipitates of the present invention may further comprise any suitable nontoxic carrier or diluent

powder known in the art to serve as a free-flow imparting agent. Common examples of such additives are silicon dioxide, starch, lactose, sucrose, sorbitol, talc, stearic acid, magnesium stearate, and dicalcium phosphate. When the  
5 compound is formulated into a tablet or pill, the tablet or pill can be coated or otherwise compounded with pharmaceutically acceptable materials known in the art to provide a dosage form affording prolonged action or sustained release. The solid TPGS coprecipitates may also be prepared  
10 in gelatin capsules.

According to a preferred embodiment, solid TPGS coprecipitates are further mixed with fumed silica, such as CAB-O-SIL® (Cabot Corp., IL., US), which is a powdery material with extremely small particle size and enormous  
15 surface area.

Fumed silica can act as a dry lubricant, promoting the free flow of the powdery mixture and preventing the mixture from caking or lumping. The free-flow, anti-caking and anti-clogging characteristics which are imparted to powders,  
20 granules and pellets by the addition of small amounts of fumed silica are the result of several actions. For example, the submicroscopic size of the silica aggregates permits them to move easily between the larger particles of the other dry ingredients, and in most cases fumed silica probably forms a  
25 coating on the powder particles. The fumed silica layer also decreases bulk tensile strength and shear strength, while neutralizing the electrostatic charge on the particles.

After blending with the other powders, fumed silica adsorbs some or all the moisture which may be present in or  
30 on the product particles. The fumed silica aggregates prevent other particles from contacting each other to form the nuclei that would otherwise lead to the formation of larger lumps and cakes. This spacing and lubricating action helps to keep materials moving through the apertures of  
35 process equipment valves, spray heads, storage bin openings, bag and drum spouts, and aerosol nozzle orifices.

Most powdered materials can be kept free flowing by adding a concentration of fumed silica in the final product range of about 0.5-25% (total solid weight). The optimum concentration can be determined by working up or down in 5 small steps. The more preferred weight percent of fumed silica in the final product will be in the range of about 1-20% (total solid weight). Even powders which have already become caked can usually be rendered free flowing by blending them with fumed silica (about 2% of the total solid weight, 10 or less).

Products which cannot be processed beyond a sticky or tacky powder can be made free flowing by adding the proper level of fumed silica as a final finishing step. Fumed silica can also be used to promote free flow in spray-dried 15 or freeze-dried products.

In some cases it can be introduced into the original emulsion, suspension or solution, or blended in later. Fumed silica has also been used to coat powdered and pelletized products to prevent them from caking later.

20 The content of silicon dioxide in the final solid TPGS coprecipitates is commonly in the range of about 5-20% of the total solid weight of the solid coprecipitate, and more preferably in the range of about 10-20% of the total solid weight of the solid coprecipitate.

25 The preparation of solid TPGS powdered coprecipitates of lipophilic substances of the present invention may be prepared by different methods as described in the following non-limiting examples.

30

35

EXAMPLES

Example 1: Preparation of tocopherol polyethyleneglycol succinate/polyvinylpyrrolidone powdered coprecipitates of Dexanabinol by freeze-drying.

This example illustrates the preparation of tocopherol polyethyleneglycol succinate/polyvinylpyrrolidone (TPGS/PVP) powdered coprecipitates of Dexanabinol by freeze-drying. The final weight/weight % composition of the different formulations prepared were as described in Table 1.

Table 1. Weight/weight % composition of TPGS/PVP/Dexanabinol coprecipitates

Formulation Code and Ingredients	AY-91-169-6	AY-91-175-2	AY-91-175-2	AY-91-175-10	AY-91-175-3	AY-91-175-4
TPGS	54	50	40	50	30	40
Dexanabinol	6	10	20	20	30	30
PVP	26	26	26	16	26	16
Cab-O-Sil	14	14	14	14	14	14

TPGS (Eastman-Kodak Co., USA) was comelted with PVP (Kollidon PF 12, BASF, Germany, added from a 10% solution in water) at 40-60° C in a water bath. Dexanabinol was added to the melted materials and the mixtures were gently agitated for several minutes. Cab-O-Sil (Cabot CORP., USA, added from a 5% solution in water) was added and the mixtures were agitated again for several minutes. After cooling to room temperature, TPGS/PVP/dexanabinol coprecipitates were formed. The coprecipitates were freeze-dried overnight using a Christ beta lyophilize (Germany). Powdered free-flowing coprecipitates quickly dispersible in water were obtained.

TPGS/PVP/dexanabinol coprecipitates containing additionally tocopherol acetate and Miglyol 812 as described in Table 2 were also prepared by freeze-drying using the same



method, which resulted in the formation of powdered free-flowing coprecipitates quickly dispersible in water.

Table 2. Weight/weight % composition of TPGS/PVP/Dexanabinol coprecipitates containing Miglyol and tocopherol acetate.

Formulation Code and Ingredients	AY-91-168-5	AY-91-175-5	AY-91-175-6	AY-91-175-7	AY-91-175-9	AY-91-175-8	AY-91-165-9	AY-91-155
TPGS	13.4	13.4	13.4	13.4	13.4	13.4	25	23
Tocopherol acetate	20.3	15.3	10.3	20.3	20.3	8.3	37.5	34
Miglyol 812	20.3	15.3	20.3	10.3	20.3	8.3	37.5	34
Dexanabinol	6	16	16	16	16	30	--	9
PVP	26	26	26	26	16	26	--	--
Cab-O-Sil	14	14	14	14	14	14	--	--

The obtained powdered coprecipitates were filled in hard gelatin capsules (No. 1) for *in vitro* release experiments in simulated gastric fluids and for oral bioavailability studies in rats (minicapsules, Torpac, NJ, USA).

Example 2: Preparation of TPGS/PVP powdered coprecipitates of Dexanabinol by spray-drying.

This example illustrates the preparation of a TPGS/PVP powdered coprecipitate of Dexanabinol by spray-drying. The final weight/weight % composition of the formulation prepared is described in Table 3:

Table 3. Weight/weight % composition of TPGS/PVP/Dexanabinol coprecipitate formulation prepared by spray-drying

Formulation Code and Ingredients	AY-91-175-3
TPGS	30
Dexanabinol	30
PVP	26
Cab-O-Sil	14

TPGS (249 mg) was melted in a water bath at 50° C. Dexanabinol (249 mg) were then added to the melted TPGS and the mixture was shaken for several minutes at 50° C. PVP (2.2 ml of a 10% solution in water) was added to the comelted  
5 mixture of TPGS/Dexanabinol TPGS and the mixture was shaken again for several minutes. Finally, Cab-O-Sil (2.3 ml of a 5% solution in water) was added and the formulation was shaken again for 1 hr at 50° C.

The resultant formulation was spray-dried using a Yamato  
10 Pulvis GA32 Mini spray-dryer. The drying conditions were: flow rate 7 ml/min, inlet temperature 130 C°, outlet temperature 80° C, and drying air flow 0.45 m<sup>3</sup>/min. A homogeneous and quickly water-dispersible dry powder of the TPGS/PVP/Dexanabinol coprecipitate was obtained.

15

Example 3:        *In vitro* release of Dexanabinol from solid lipid TPGS coprecipitates in simulated gastric fluid.

*In vitro* drug release of Dexanabinol from solid TPGS  
20 lipid coprecipitates was determined by placing a hard gelatin capsule No. 1 containing the formulation in 50 ml of simulated gastric fluid (150 mM NaCl, pH 1.2, 37° C, containing 1% Tween 80 as sink). Gentle stirring was provided by a magnetic bar. Samples were drawn from the  
25 release medium at prefixed time intervals and filtered through a 2.7 µm PTFE filter (Whatman).

Dexanabinol was determined by HPLC using a Kontron instrument equipped with pump, UV detector, and autosampler. A summary of the typical chromatographic conditions of the  
30 method is provided below:

Column: Merck 50980 supersphere 100RP-18, 75x4 mm, 4 µm.  
Mobile Phase: 30% phosphate buffer (0.01M KH<sub>2</sub>PO<sub>4</sub>, pH 3.0): 70% acetonitrile (v/v). Flow rate: 1 ml/min. Detector  
wavelength: 280 nm. Injection volume: 20 µl. Column  
35 temperature: ambient. Retention time: about 5.8 min. Run time: about 9 min.

Figure 1 shows the *in vitro* release data for TPGS/PVP/Dexanabinol coprecipitate formulations in simulated gastric fluid. Depending on the specific composition of the formulation, very good Dexanabinol release (from 60-95%) was obtained -- mainly during the initial 10-20 min.

Example 4: Enhanced oral bioavailability of Dexanabinol in solid TPGS coprecipitate formulations.

10 The solid TPGS powdered coprecipitates were filled in hard gelatin minicapsules (Torpac, NJ, USA) and tested for their oral bioavailability in rats. Male Sprague-Dawley rats (220-260 g, n=4) were administered orally with Dexanabinol formulated either as TPGS coprecipitates as described in  
15 Example 1 (Tables 1, 2, and 3) and filled in hard gelatin minicapsules (Torpac, NJ, USA) or in a MCT oil solution at 10, 25, and 50 mg/kg doses. Blood samples were collected at 0, 0.5, 1.0, 2.0, 3.0, 5.0, 8.0, and 24 hour time intervals. The samples were centrifuged at 10,000 rpm for 5 min and the  
20 plasma was separated and stored frozen at -20° C until plasma Dexanabinol levels were analyzed. Determination of Dexanabinol in the plasma was performed by HPLC. The chromatographic conditions were as described in Example 3. Plasma samples stored at -20° C were defrosted and diluted  
25 1:4 as follows: 150  $\mu$ l plasma sample was transferred into a 1.8 ml Eppendorf tube and 150  $\mu$ l of acetonitrile were added. The sample was vortexed and centrifuged in a microfuge for 10 minutes at 10,000 rpm. The upper clear liquid was then transferred into an HPLC glass conical vial. A calibration  
30 curve was used for calculating Dexanabinol plasma levels. Corrections of sample peak areas were done by subtracting the of average value of the peak area obtained for samples at zero time (blank plasma).

Figure 2 shows the oral pharmacokinetics of Dexanabinol  
35 administered to rats (n=5) at a 50 mg/kg dose as a solid TPGS lipid coprecipitate (Formulation AY-91-155 prepared as described in Example 1, Table 2) compared to a solution of

the drug in MCT oil (Miglyol 812). A two fold increase in Dexanabinol plasma levels was obtained with the coprecipitate formulation compared to the oil solution, demonstrating enhanced oral absorption of Dexanabinol from the solid TPGS coprecipitate formulation. This data indicates the good water dispersibility of the formulation, which, in turn, probably facilitates the uptake of the drug from the gastrointestinal tract.

Figure 3 shows the rat oral pharmacokinetics of Dexanabinol from TPGS solid lipid coprecipitate formulation (Formulation AY-91-155 prepared as described in Example 1, Table 2) at three different doses. A maximal drug concentration ( $C_{max}$ ) was obtained after 5 hours ( $t_{max}$ ) for all three doses tested, 10, 25, and 50 mg/kg.

Figure 4 illustrates the oral pharmacokinetics of Dexanabinol administered to rats (n=5) at a 30 mg/kg dose as a powdered solid TPGS/PVP lipid coprecipitate in hard gelatin minicapsules (Formulation AY-175-3 prepared as described in Example 1, Table 1). The figure shows increased plasma levels of the drug and demonstrating the improved delivery and oral bioavailability of Dexanabinol.

Example 5: Preparation of solid TPGS lipid coprecipitates of Ubiquinone (coenzyme Q10).

This example illustrates the preparation of tocopherol polyethyleneglycol succinate coprecipitates of Coenzyme Q10. The final weight/weight % composition of the different formulations prepared is described in Table 4:

Table 4. Weight/weight % composition of TPGS/CoQ10 coprecipitates.

5	Formulation Code and Ingredients	106-19B	106-19C	106-16A	106-11C
	TPGS	20	21	47.1	47.5
	Coenzyme Q10	5	5	5.8	5
	MCT oil	75	--	--	--
	LCT oil	--	74	--	--
	PVP	--	--	47.1	--
10	PEG 1450	--	--	--	47.5

Ubiquinone (Coenzyme Q10) was obtained from Global Marketing Associates, Inc. (San Francisco, CA). The polyethyleneglycol used was Carbowax PEG 1450 from Union Carbide (CT, USA). The MCT oil (medium chain triglycerides) used was Miglyol 812 (Hulls, Germany). LCT oil (long chain triglycerides) was from Croda. TPGS/CoQ10 coprecipitates were prepared by heating together all the components at 50°-60° C with consequent cooling to room temperature. Compositions containing polyvinylpyrrolidone (PVP K-12) were prepared using 50% PVP solutions in absolute ethanol and drying the obtained mixture at 40°-45° C for 4-6 hours. The obtained TPGS/CoQ10 coprecipitates showed quick water dispersibility with rapid release of the CoQ10.

25

Example 6: Preparation of TPGS/PVP powdered coprecipitates of Ubiquinone (coenzyme Q10) by freeze-drying.

This example illustrates the preparation of tocopherol polyethyleneglycol succinate powdered coprecipitates of Coenzyme Q10 by freeze-drying. The final weight/weight % composition of the different formulations prepared is described in Table 5:

35

Table 5. Weight/weight % composition of  
TPGS/PVP/CoQ10 powdered coprecipitates.

Formulation Code and Ingredients	ED-107-157	ED-107-155-1	ED-107-155-B	AY-91-175-C
TPGS	40	56	50	66
Coenzyme Q10	9	13	11	15
PVP	41	15	25	—
Cab-O-Sil	10	16	14	19

TPGS (Eastman-Kodak Co., USA) was melted at 40°-60° C in a water bath. Coenzyme Q10 was added to the melted TPGS and the mixtures were gently agitated for several minutes. PVP (Kollidon PF 12, BASF, Germany) was then added from a 30% solution in water and the mixtures were shaken at 40° C for 1-2 hr. Cab-O-Sil (Cabot CORP., USA, added from a 5% solution in water) was added and the mixtures were agitated again for several minutes. After cooling to room temperature TPGS/PVP/CoQ10 coprecipitates were formed. The coprecipitates were freeze-dried overnight using a Christ beta lyophilizer (Germany). Powdered free-flowing coprecipitates quickly dispersible in water were obtained. They were packed in hard gelatin capsules for *in vitro* release studies.

**Example 7:** *In vitro* Release of Coenzyme Q10 from powdered TPGS/PVP coprecipitates in simulated gastric fluid.

*In vitro* drug release of CoQ10 from a powdered TPGS/PVP coprecipitate formulation and from a commercial product containing equivalent amounts of CoQ10 were determined by placing a hard gelatin capsule in 50 ml of simulated gastric fluid (150 mM NaCl, pH 1.2, 37° C) containing 1% Tween 80 as sink. Gentle stirring was provided by a magnetic bar. Samples were drawn from the release medium at prefixed time intervals, filtered through a 2.7 µm Whatman GF filter and analyzed for CoQ10 concentration.

Figure 5 shows the *in vitro* release patterns of CoQ10 from a powdered TPGS/PVP coprecipitate formulation compared to a commercial product ENERGYCO® CoQ10 (Herbamed-Assutech Ltd., Rehovot, Israel) in simulated gastric fluid.

- 5 CoQ10 was determined in the commercial product, the powdered TPGS/PVP coprecipitate formulation, and in the release medium *in vitro* by extraction with Dole reagent (isopropanol:heptane:water, 45:36:17) by measuring the absorbance at 270 nm using a calibration curve. CoQ10
- 10 samples (0.5 ml) were added to 3.5 ml of Dole reagent and mixed thoroughly. The two phases were then allowed to separate for 10 min at room temperature. CoQ10 was extracted selectively in Dole heptane upper phase, which was transferred to a quartz cuvette for absorbance measurement.
- 15 The % release of CoQ10 from the marketed product was very low compared to a very quick and significant release from the powdered TPGS/PVP coprecipitate formulation. Each ENERGYCO® CoQ10 hard gelatin capsule contains 50 mg of CoQ10 mixed with rice powder. After capsule disruption in the
- 20 simulated gastric fluid, big aggregates or clusters of CoQ10 and swelled rice powder were observed. These observations may explain the low CoQ10 dissolution into the release medium. Since particle size is a determinant factor in the rate and extent of drug absorption from the gastrointestinal
- 25 tract, this result indicates low oral bioavailability of CoQ10 from the commercial product compared to powdered TPGS/PVP coprecipitate formulation of the present invention, which is quickly dispersible in the simulated gastric fluid.

30 **Example 8:** Preparation of TPGS/PVP powdered coprecipitate of Melatonin.

- TPGS (500 mg) was melted at 40°-60° C in a water bath. Melatonin (100 mg, from Jansenn Chimica, Belgium) was added to the melted material and the mixture was agitated for
- 35 several minutes. A small amount of absolute ethanol (up to 5%, v/v) was added in order to get an homogeneous mixture. PVP (Kollidon PF 12, 2.6 ml of a 10% solution in water) was

then added and the mixture was agitated again for several minutes. Cab-O-Sil (2.8 ml of a 5% solution in water) was added and the mixture was agitated again for several minutes. The resultant TPGS/PVP/melatonin mixture was then  
5 freeze-dried overnight using a Christ beta lyophilizer (Germany). A powdered free-flowing TPGS/PVP/melatonin coprecipitate quickly dispersible in water was obtained.

Example 9: Preparation of TPGS/PVP powdered coprecipitate of Amphotericin B.  
10

TPGS (500 mg) was melted at 40°-60° C in a water bath. Amphotericin B (100 mg, from Dumex, Denmark) was added to the melted material and the mixture was agitated for several minutes. A small amount of absolute ethanol (up to 5%, v/v)  
15 was added in order to get an homogeneous mixture. PVP (Kollidon PF 12, 2.6 ml of a 10% solution in water) was then added and the mixture was agitated again for several minutes. Cab-O-Sil (2.8 ml of a 5% solution in water) was added and the mixture was agitated again for several minutes. The  
20 resultant TPGS/PVP/melatonin mixture was then freeze-dried overnight using a Christ beta lyophilizer (Germany). A powdered free-flowing TPGS/PVP/Amphotericin B coprecipitate quickly dispersible in water was obtained.

Example 10: Preparation of TPGS/PVP powdered coprecipitate of Tamoxifen methyliodide.  
25

TPGS (500 mg) was melted at 40°-60° C in a water bath. Tamoxifen methiodide (100 mg, from Pharmos Corp., FL, USA) was added to the melted material and the mixture was agitated  
30 for several minutes. A small amount of absolute ethanol (up to 5%, v/v) was added in order to get an homogeneous mixture. PVP (Kollidon PF 12, 2.6 ml of a 10% solution in water) was then added and the mixture was agitated again for several minutes. Cab-O-Sil (2.8 ml of a 5% solution in water) was  
35 added and the mixture was agitated again for several minutes. The resultant TPGS/PVP/Tamoxifen methiodide mixture was then freeze-dried overnight using a Christ beta lyophilizer



(Germany). A powdered free-flowing TPGS/PVP/melatonin coprecipitate quickly dispersible in water was obtained.

**5**      Example 11:      Preparation of TPGS/PVP powdered coprecipitate of Etoposide.

TPGS (500 mg) was melted at 40°-60° C in a water bath. Etoposide (100 mg, from Sigma, St. Louis, USA) was added to the melted material and the mixture was agitated for several minutes. A small amount of absolute ethanol (up to 5%, v/v)  
**10** was added in order to get an homogeneous mixture. PVP (Kollidon PF 12, 2.6 ml of a 10% solution in water) was then added and the mixture was agitated again for several minutes. Cab-O-Sil (2.8 ml of a 5% solution in water) was added and the mixture was agitated again for several minutes. The  
**15** resultant TPGS/PVP/melatonin mixture was then freeze-dried overnight using a Christ beta lyophilizer (Germany). A powdered free-flowing TPGS/PVP/Etoposide coprecipitate quickly dispersible in water was obtained.

**20**      Example 12:      Preparation of TPGS/PVP powdered coprecipitate of Clycosporin A by freeze-drying.

This example illustrates the preparation of tocopheryl polyethyleneglycol succinate powered coprecipitate of the peptide drug cyclosporin A, a very lipophilic undecapeptide  
**25** with very low water solubility and poor oral bioavailability, by freeze-drying. The final weight/weight % composition of the formulations prepared was as described in Table 6:

**30**

**35**

Table 6. Weight/weight % composition of  
TPGS/PVP/Cyclosporin A coprecipitate  
formulation prepared by freeze-drying.

5	<b>Formulation Code and Ingredients</b>	<b>ED-119-148</b>
	TPGS	50
	Cyclosporin A	10
	PVP	26
10	Cab-O-Sil	14

All lipid components and cyclosporin were dissolved in ethanol. Cab-O-sil was added from a 5% water dispersion to the ethanolic solution (at a final ethanol:water v/v ratio of 1:1) and the mixture was shaken at 40°C for several minutes.

15 The mixture was then frozen at -30°C for several hours and lyophilized overnight using a Christ lyophilizer (Germany). Homogeneous dry quick-dispersible power containing the cyclosporin-lipid mixture was obtained.

It will be appreciated by the artisan that many

20 additional modifications or variations of these compositions are feasible. The scope of the invention is, therefore, not to be construed as limited to the foregoing examples, but is to be construed, at the very least, to be commensurate with the scope of the following claims.

25

30

35

THE CLAIMS

What is claimed is:

- 5           1.    A solid coprecipitate composition comprising: a lipophilic substance in an amount sufficient to provide a therapeutic effect when administered to a mammal; tocopherol polyethyleneglycol succinate (TPGS) in an amount sufficient to increase the oral availability of the lipophilic  
10 substance; and a dispersion adjuvant in an amount sufficient to assist in dispersing the lipophilic substance in the succinate.
2.    The composition of claim 1 wherein the dispersion  
15 adjuvant is selected from the group consisting of a polyvinylpyrrolidone, a medium chain triglyceride, a long chain triglyceride, a polyethyleneglycol, and a tocopherol acetate.
- 20           3.    The composition of claim 1 which further comprises a solid carrier or diluent.
4.    The composition of claim 1 wherein the tocopherol polyethyleneglycol succinate comprises about 5-65% of the  
25 total solid weight of the composition.
5.    The composition of claim 1 wherein the lipophilic substance comprises about 0.01-50% of the total solid weight of the composition.  
30
6.    The composition of claim 1 wherein the dispersion adjuvant comprises about 5-75% of the total solid weight of the composition.
- 35           7.    The composition of claim 1 wherein the dispersion adjuvant is polyvinylpyrrolidone.

8. The composition of claim 7 which further comprises a second dispersion adjuvant selected from the group consisting of an MCT oil, an LCT oil, a polyethylene glycol, and a tocopherol acetate.

5

9. The composition of claim 3 wherein the solid carrier comprises fumed silicon dioxide in the amount of about 1-20% of the total solid weight of the composition.

10 10. The composition of claim 1, wherein the composition is present in a unit dosage form.

11. The composition of claim 10 wherein the solid dosage form is selected from the group consisting of a  
15 gelatin capsule and a tablet.

12. The composition of claim 1 wherein the lipophilic substance is a drug.

20 13. The composition of claim 1 wherein the lipophilic substance is selected from the group consisting of a vitamin, a hormone, a peptide and protein.

14. The composition of claim 1 wherein the lipophilic  
25 substance is selected from the group consisting of a steroid, steroid antagonist, non-steroidal anti-inflammatory agent, antifungal agent, antibacterial agent, antiviral agent, anticancer agent, anti-hypertensive agent, anti-oxidant agent, anti-epileptic agent, anti-depressant agent and  
30 peptide drug.

15. The composition of claim 1 wherein the lipophilic substance is selected from the group consisting of dexamethasone, etoposide, coenzyme Q10, melatonin, cyclosporin  
35 A, amphotericin, tamoxifen and tamoxifen methiodide.

16. A method for preparing the solid coprecipitate of claim 1, comprising:

comelting the TPGS and the lipophilic substance at about 40°-60°C;

5 adding the dispersion adjuvant to the melted mixture with agitation; and

drying the resultant mixture to obtain a dry coprecipitate.

10 17. The method of claim 16 in which the drying is achieved by spray drying or freeze drying of the mixture.

18. The method of claim 16 wherein the dispersion adjuvant comprises a solution of a polyvinyl pyrrolidone.

15

19. The method of claim 16 which further comprises adding fumed silica to the mixture of TPGS, lipophilic substance and dispersion adjuvant with agitation.

20 20. A method for delivering a lipophilic substance to a mammal in need of such a substance which comprises orally administering a therapeutically effective amount of the solid coprecipitate of claim 1.

25 21. The method of claim 20 wherein said coprecipitate is administered to a mammal having brain damage, periodontal disease, a blood circulation disease, impaired memory, fatigue, an irregular heartbeat, an immune system impairment, aging, a sleep disorder, a mood disorder, a migraine, or a  
30 fungal condition.

22. The method of claim 21 wherein the blood circulation disease is high blood pressure or the fatigue is jet lag.

35

23. The method of claim 20 wherein the coprecipitate comprises as the lipophilic substance an agent selected from the group consisting of dexamethasone, etoposide, coenzyme Q10, cyclosporin A, melatonin, amphotericin, tamoxifen and  
5 tamoxifen methiodide.

10

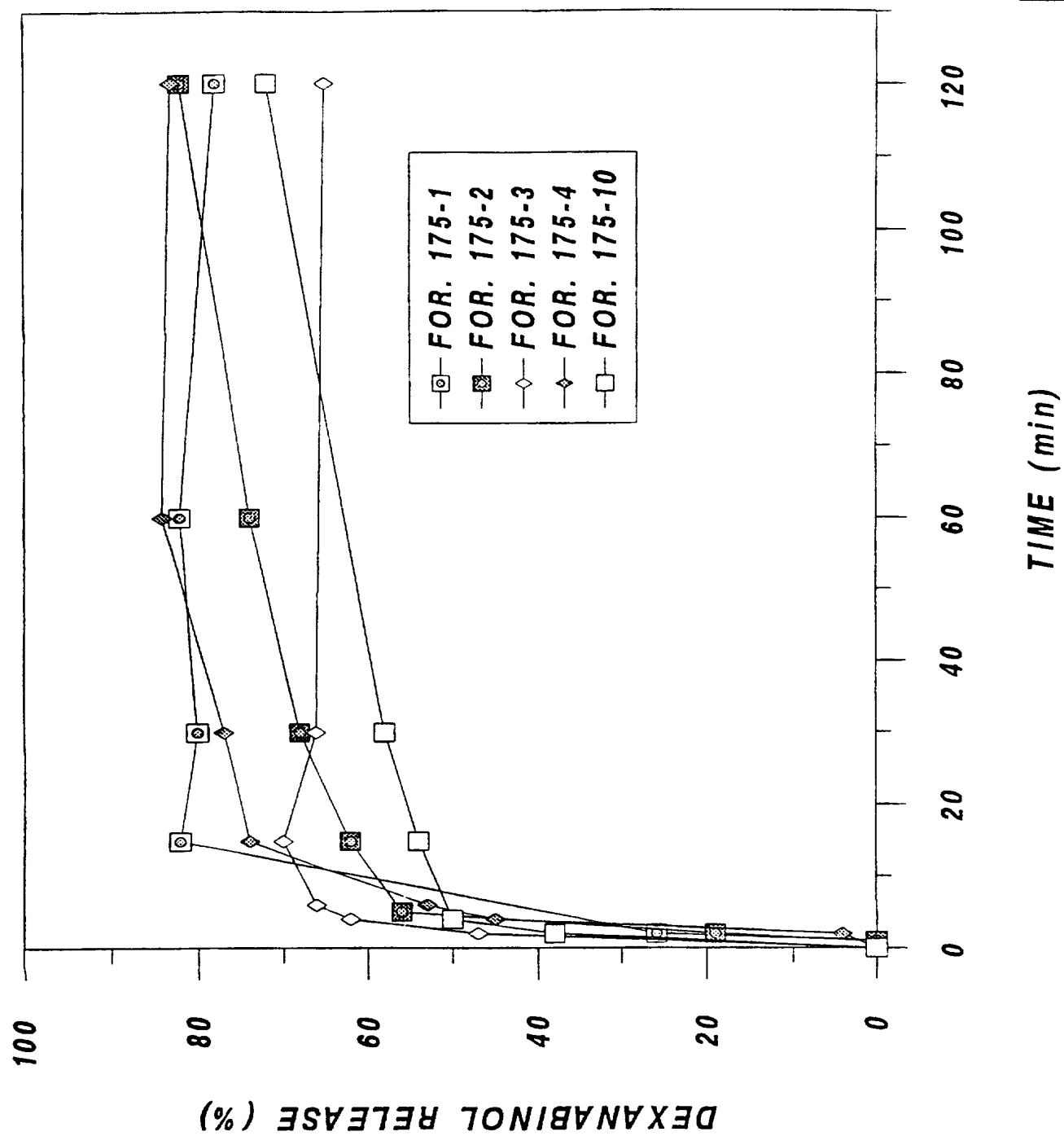
15

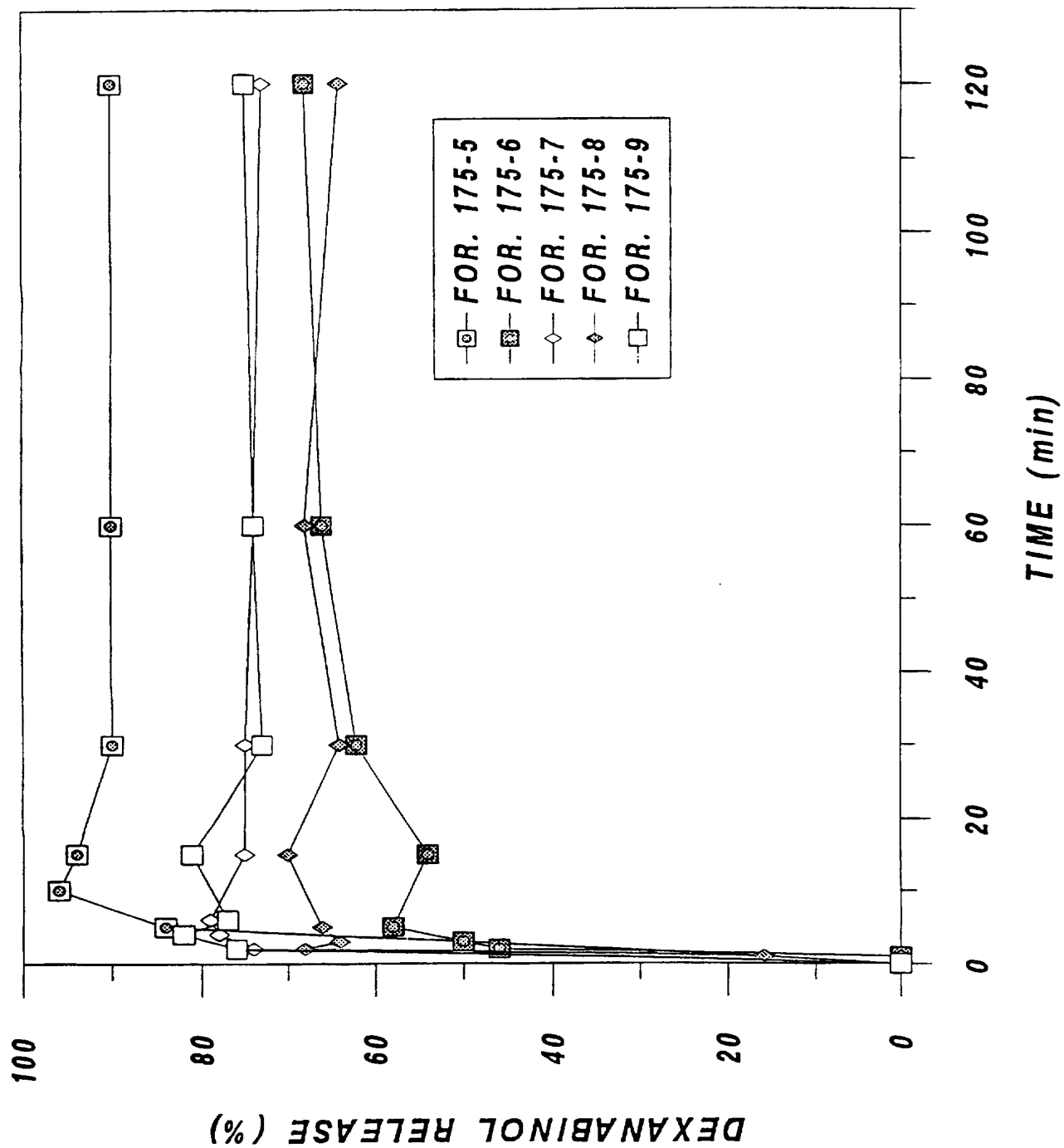
20

25

30

35







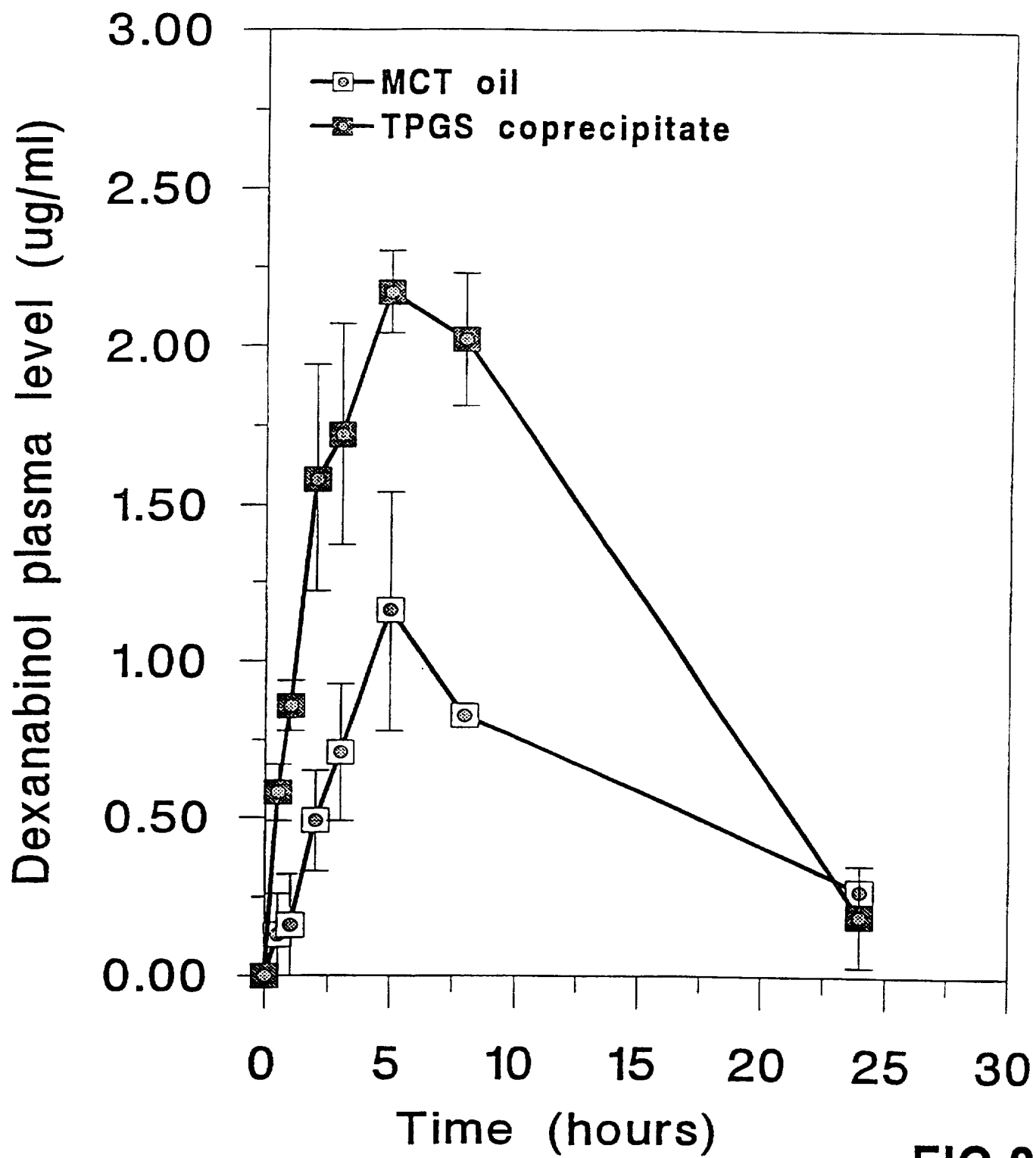


FIG.3

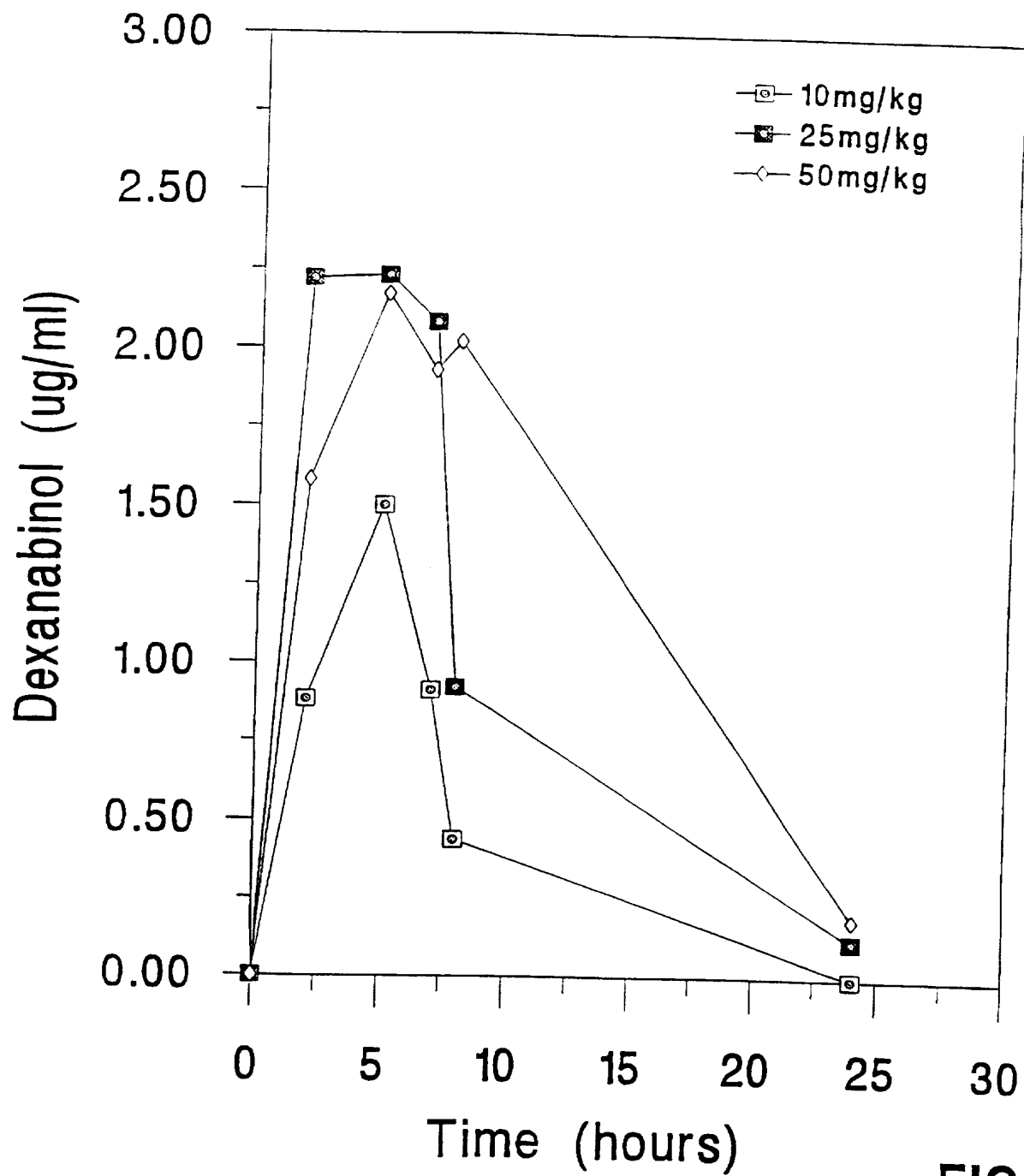


FIG.4

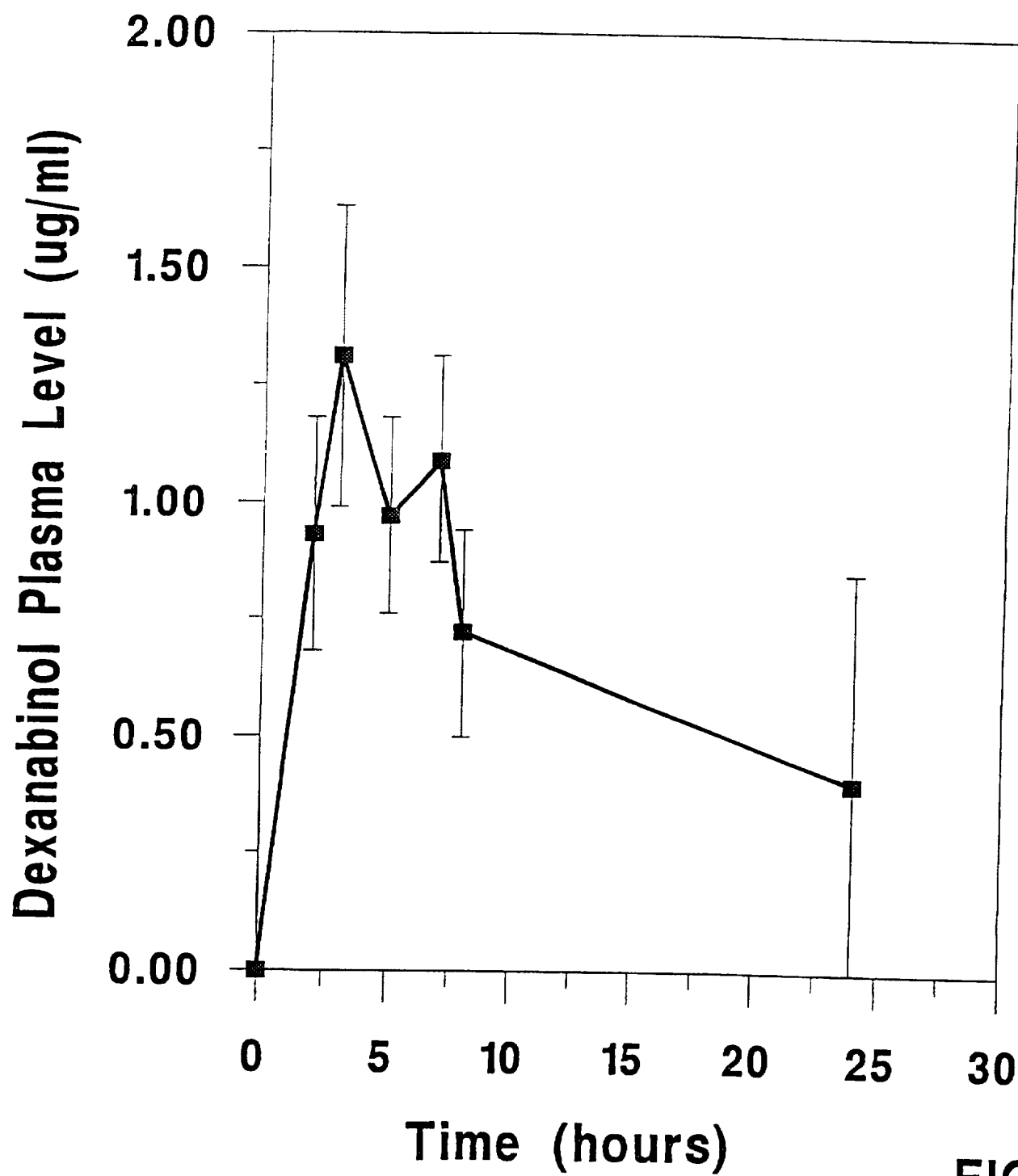


FIG.5

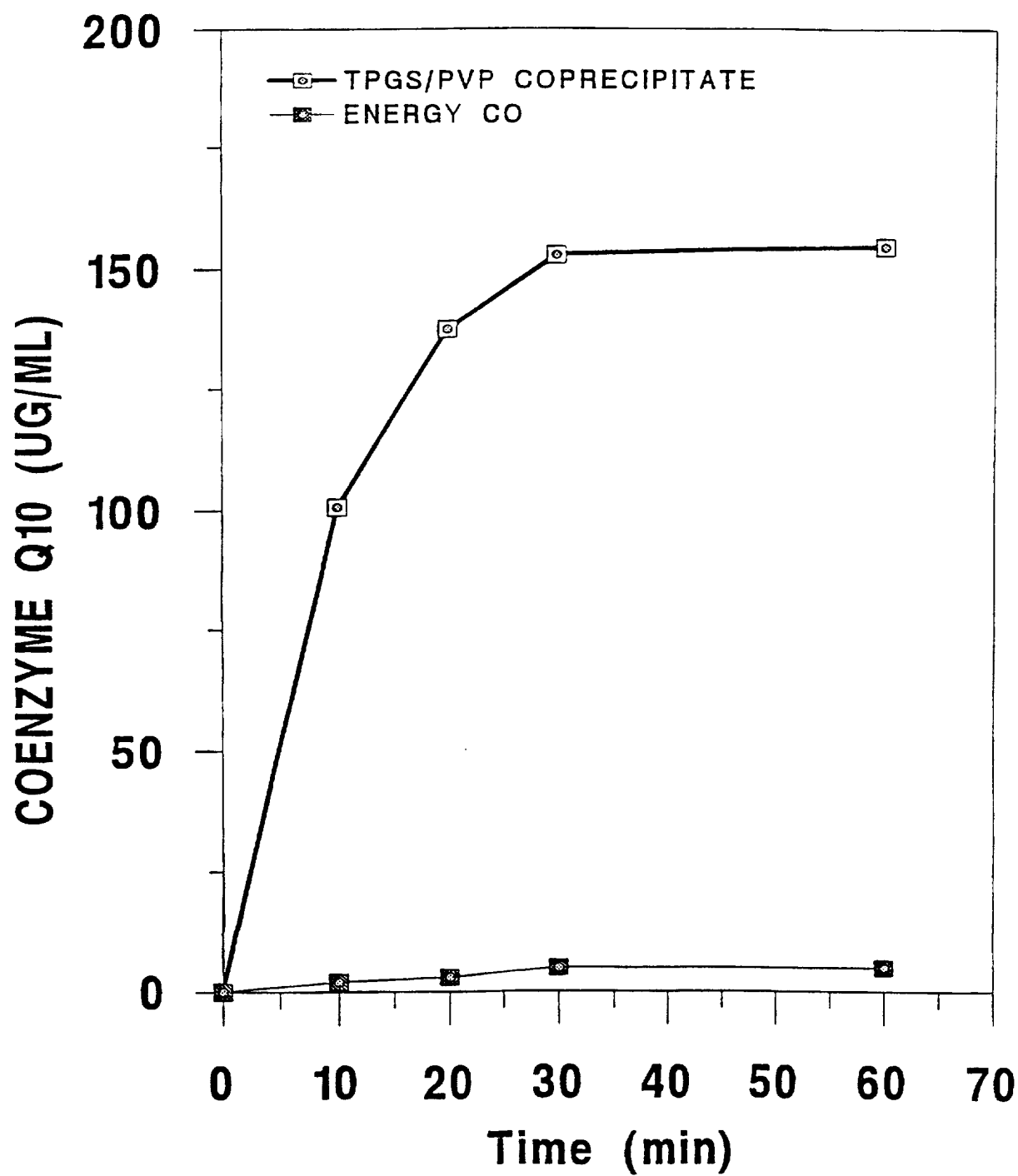


FIG.6

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/15072

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) :A61K 9/14, 9/16, 9/19, 9/20, 9/48  
US CL :424/489, 501, 502; 514/458

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/451, 452, 464, 465, 489, 501, 502

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 3,102,078 A (ROBESON) 27 AUGUST 1963, col. 1, line 40 to col. 2, line 6, especially col. 2, lines 66-68 and Examples 1-10.	1-6, 8-9, 12-14, 16-17, 19-20
X	US 5,179,122 A (GREENE ET AL) 12 JANUARY 1993, see entire document, especially col. 3, lines 43 and 46, col. 4, lines 27-31 and col. 5, lines 26 to col. 6, line 7.	1-6, 8-9, 12-14, 16-17, 19-20
X	US 5,430,021 A (RUDNIC ET AL) 04 JULY 1995, col. 3, lines 7-12, 45-52, 66, col. 4, lines 2-3, 5-54 and Example 2.	1-23
A	US 3,673,163 A (WALKLING) 27 JUNE 1972, see entire document.	1-23

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*B* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

14 OCTOBER 1997

Date of mailing of the international search report

2 DEC 1997

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

ROBERT H. HARRISON

Telephone No. (703) 305-2422

**INTERNATIONAL SEARCH REPORT**International application No.  
PCT/US97/15072**C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4,327,080 'A (WONG ET AL) 27 APRIL 1982, see entire document.	1-23
A	US 4,412,986 'A (KAWATA ET AL) 01 NOVEMBER 1983, see entire document.	1-23
A	US 4,758,427 A (LEESON) 19 JULY 1988, see entire document.	1-23
A	US 5,234,696 A (HOBBS ET AL) 10 AUGUST 1993, see entire document.	1-23
A	US 5,491,154 A (CICERI ET AL) 13 FEBRUARY 1996, see entire document.	1-23
A	ADAMS, M.W. D-Alpha Tocopheryl Polyethylene Glycol 100 Succinate (Eastman Vitamin E TPGS) as an Emulsifier and Bioenhancer for Drugs and Lipophilic Compounds. Congr. Int. Technol. Pharm., 6th (1992), Vol. 4, pages 254-262.	1-23
A	WU et al. Characterization of D-Alpha Tocopheryl Polyethylene Glycol-1000 Succinate for Applications as an Absorption Enhancer. Pharmaceutical Research. October 1994(Supplement), Vol. 11, No. 10, Page S-149.	1-23
A	WU et al. D-Alpha Tocopheryl Polyethylene Glycol 1000 Succinate (TPGS) as an Absorption Enhancer. World Meet. Pharm., Pharm. Technol., 1st, 1995, Pages 24-25.	1-23